

# Emergency Regulation Agency Background Document

Agency Name:	Department of Medical Assistance Services (DMAS)
VAC Chapter Number:	Chapter 120
Regulation Title:	Individual and Family Developmental Disabilities Support (IFDDS) Waiver
Action Title:	Children Covered by IFDDS Waiver
Date:	Aug. 30, 2002; NEED GOV'S APPROVAL BY SEPT. 27

Section 9-6.14:4.1(C)(5) of the Administrative Process Act allows for the adoption of emergency regulations. Please refer to the APA, Executive Order Twenty-Four (98), and the *Virginia Register Form, Style and Procedure Manual* for more information and other materials required to be submitted in the emergency regulation submission package.

# **Emergency Preamble**

Please provide a statement that the emergency regulation is necessary and provide detail of the nature of the emergency. Section 9-6.14:4.1(C)(5) of the Administrative Process Act states that an "emergency situation" means: (i) a situation involving an imminent threat to public health or safety; or (ii) a situation in which Virginia statutory law, the Virginia appropriation act, or federal law requires that a regulation shall be effective in 280 days or less from its enactment, or in which federal regulation requires a regulation to take effect no later than 280 days from its effective date. The statement should also identify that the regulation is not otherwise exempt under the provisions of § 9-6.14:4.1(C)(4).

Please include a brief summary of the emergency action. There is no need to state each provision or amendment.

This regulatory action qualifies as an emergency, pursuant to the authority of the Code of Virginia, 1950 as amended, § 2.2-4011, because it is responding to a change in the Virginia Appropriations Act that must be effective within 280 days from the date of enactment of the Appropriations Act (the 2002 *Acts of Assembly*, Chapter 899, Item 325 W) and this regulatory action is not otherwise exempt under the provisions of the Code § 2.2-4006. Since DMAS intends to continue regulating the two issues contained in this emergency regulation past the

effective period permitted by this emergency action, it is also requesting approval of its Notice of Intended Regulatory Action in conformance to § 2.2-4007.

These suggested emergency regulations are necessary for the Department of Medical Assistance Services (DMAS) to comply with the 2002 *Acts of Assembly*, Chapter 899, Item 325.W which states:

"The Department of Medical Assistance Services shall provide for the transfer from the Mental Retardation Waiver to the Individual and Family Developmental Disabilities Support Waiver for children who reach age 6, are receiving services under the Mental Retardation Waiver, and who have been determined not to have a diagnosis of mental retardation. Contingent upon approval of these changes by the Centers for Medicare and Medicaid Services, the Department shall promulgate emergency regulations to become effective within 280 days or less from the enactment date of this act. The Department shall implement these necessary changes to be consistent with federal approval of the waiver changes."

DMAS received on July 1, 2002, federal approval of these waiver changes.

#### Basis

Please identify the state and/or federal source of legal authority to promulgate the emergency regulation. The discussion of this emergency statutory authority should: 1) describe its scope; and 2) include a brief statement relating the content of the statutory authority to the specific regulation. Full citations of legal authority and web site addresses, if available for locating the text of the cited authority, should be provided.

Please provide a statement that the Office of the Attorney General has certified that the agency has the statutory authority to promulgate the emergency regulation and that it comports with applicable state and/or federal law.

The *Code of Virginia* (1950) as amended, § 32.1-325, grants to the Board of Medical Assistance Services the authority to administer and amend the Plan for Medical Assistance. The *Code of Virginia* (1950) as amended, § 32.1-324, authorizes the Director of the Department of Medical Assistance Services (DMAS) to administer and amend the Plan for Medical Assistance according to the Board's requirements.

The Medicaid authority as established by § 1902 (a) of the *Social Security Act* [42 U.S.C. 1396a] provides governing authority for payments for services

### Substance

Please detail any changes, other than strictly editorial changes, that would be implemented. Please outline new substantive provisions, all substantive changes to existing sections, or both where appropriate. Please provide a cross-walk which includes citations to the specific sections of an existing regulation being amended and explain the consequences of the proposed changes. The statement should set forth the specific reasons the agency has determined that the proposed regulatory action would be essential to protect the health, safety or welfare of Virginians. The

statement should also delineate any potential issues that may need to be addressed as a permanent final regulation is developed.

CITATION	RECOMMENDED CHANGE	RATIONALE
12VAC30-120-	Insert:	To ensure clarity.
700	Definitions for case manager, community	5
Definitions	services board, behavioral health authority	
	and Mental Retardation Waiver.	
12VAC30-120-	Insert:	In order to comply with the
710.A	Mental Retardation (MR) Waiver recipients	Chapter 899 of the 2002 Acts of
General Coverage	who are six years of age, on or after October	Assembly.
and requirements	1, 2002, who are determined to not have a	
for all home and	diagnosis of mental retardation and continue	
community-based	to meet all IFDDS Waiver eligibility criteria,	
care waiver	shall be eligible for and transfer to the IFDDS	
services.	Waiver effective with their sixth birthday.	
	Psychological evaluations confirming	
	diagnoses must be completed less than one	
	year from the child's sixth birthday. These	
	recipients transferring from the MR Waiver	
	will automatically be assigned a slot in the	
	IFDDS Waiver	
12VAC30-120-	Insert:	In order to comply with the
720.B.3	For children who are on the MR Waiver and	Chapter 899 of the 2002 Acts of
	transition to the IFDDS waiver at age six,	Assembly.
	case managers shall submit to DMAS the	
	most recent level of functioning, CSP, and a	
	psychological evaluation completed no more	
	than one year prior to the child's sixth	
	birthday that determines no diagnosis of	
12VAC30-120-	mental retardation exists.	The order to complex with the
720.B.4	Insert:	In order to comply with the
/20.D.4	The case manager is responsible for notifying DMAS, DMHMRSAS, and DSS, via the	Chapter 899 of the 2002 Acts of Assembly.
	DMAS, DMINNASAS, and DSS, via the DMAS-122, when a child transfers from the	Assembly.
	MR Waiver to the IFDDS Waiver	
12VAC30-120-	Insert:	In order to comply with the
720.E.1	For MR Waiver recipients transferring to the	Chapter 899 of the 2002 Acts of
Recipient	IFDDS Waiver, case manager must provide	Assembly.
qualification and	the recipient with a list of support	
eligibility	coordinators. The individual will choose a	
requirements; intake	support coordinator within ten calendar days	
process.	and the screening entity/case manager will	
L	forward the screening materials, CSP, and all	

The suggested emergency regulations include the following changes:

other MR Waiver related documentation	
within ten calendar days to the selected	
support coordinator.	

DMAS does not anticipate any potential issues that may need to be addressed as a permanent final regulation is developed.

#### Alternatives

Please describe the specific alternatives that were considered and the rationale used by the agency to select the least burdensome or intrusive method to meet the essential purpose of the action.

There are no appropriate alternatives as the emergency regulations are required for compliance with the 2002 *Acts of Assembly*, Chapter 899.

## Family Impact Statement

Please provide a preliminary analysis of the potential impact of the emergency action on the institution of the family and family stability including to what extent the action will: 1) strengthen or erode the authority and rights of parents in the education, nurturing, and supervision of their children; 2) encourage or discourage economic self-sufficiency, self-pride, and the assumption of responsibility for oneself, one's spouse, and one's children and/or elderly parents; 3) strengthen or erode the marital commitment; and 4) increase or decrease disposable family income.

DMAS anticipates that the proposed emergency regulations will have positive impact on the institution of the family and family stability. With the suggested emergency regulations, eligible clients and their families will not risk losing services and supports when the clients are discharged from the MR Waiver. Transferring these clients to the IFDDS Waiver will avoid the termination of services and supports clients and their families have become dependent upon in order to avoid institutionalization. The suggested regulations may assist families and clients with strengthening the authority and rights of parents in the education, nurturing, and supervision of their children.